



FORM PT-01449		PATENT NO. 10/500,885	CASE NO. 9683/197
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT		FILING DATE July 7, 2004	GROUP ART UNIT 2437
(use several sheets if necessary)	APPLICANT(S): Kazuhiro Yamada et al.		CONFIRMATION NO. 8676

REFERENCE DESIGNATION U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER Number-Kind Code (if known)	DATE	NAME	CLASS/ SUBCLASS	FILING DATE
	C1	5,673,315	09/30/1997	Wolf	380/4	7/95
	C2	5,708,709	01/13/1998	Rose	380/4	12/95
	C3	6,269,421 B1	07/31/2001	Nishimura	711/103	1/99
	C4	6,971,016 B1	11/29/2005	Barnett	713/182	5/00
	C5	6,976,165 B1	12/13/2005	Carpentier et al.	713/165	9/99
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	C7	2003/0061487 A1	03/27/2003	Angelo et al.	713/176	9/01
	C8	2005/0005099 A1	01/06/2005	Naruse et al.	713/161	1/03
	C9	2005/0160045 A1	07/21/2005	Watanabe et al.	705/51	7/03

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	C10	EP 0 813 132 A2	12/17/1997	EPO		
	C11	EP 1 033 652 A2	09/06/2000	EPO		
	C12	EP 1 289 326 A1	03/05/2003	EPO		
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	C14	JP 10-83310 A	03/31/1998	JPO		Translation
	C15	JP 10-91427 A	04/10/1998	JPO		Translation
	C16	JP 11-205767 A	07/30/1999	JPO		US 6,269,421
	C17	JP 2001-216043 A	08/10/2001	JPO		Translation

EXAMINER INITIAL	OTHER ART – NON PATENT LITERATURE DOCUMENTS (Include name of author, title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date page(s), volume-issue number(s), publisher, city and/or country where published.	
	C18	Edited by ASCII Shoseki Henshubu "i-Mode Java Programming – Stand Alone Application Part revised new edition" ASCII Coup., May 21, 2001, total 17 pages (with partial translation)
	C19	Li, G. "Java Series Java2 Platform Security", <i>Kabushiki Kaisha Pearson Education</i> , First Edition, November 30, 2000, total 14 pages (with partial translation)
	C20	Computer Today, No.87, September 1, 1998, total 9 pages (with partial translation)
	C21	Translation of International Preliminary Examination Report issued October 6, 2003 in International Application number PCT/JP03/03974
	C22	Megler, V., "i-mode From bandwidth problem into Internet phenomenon", <i>Developerworks</i> , February 2002, XP002329582, 13 Pages
	C23	Sun Microsystems, Inc., "Default Policy Implementation and Policy File Syntax", <i>Java Sun</i> , October 30, 1998, XP002329583, 11 Pages
	C24	NTT DoCoMo, "i-mode Java Content Developer's Guide –Functional Descriptions-", Release 1.1, May 14, 2000 total 12 pages

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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /P.C./

FORM PTO-1449	SERIAL NO. 10/500,885	CASE NO. 9683/197
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	C25	Jess Garms, "Professional Java Security", Publishing House of Electronics Industry, Jan. 31, 2002 (with translation) total 35 pages
	C26	Li Gong, "Java2 Platform Security", Japan, Pearson Education, Inc., November 30, 2000, 1 st edition, pp 41-44 and 123-138 (ISBN: 4-89471-193-1) (with conscious explanation)
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	C29	Stalling, "Cryptography and network security", 2nd edition, 1998, ISBN: 0138690170 in view of Angelo (USPUB 2003/0061487), pp 243-254
	C30	Feghhi, et al., "Digital Certificates Applied Internet Security", 1999, ISBN: 0201309807, pp 61-89
	C31	Chinese Office Action dated May 12, 2006 in Chinese patent application number 03807636.5 (with translation)
	C32	Chinese Office Action dated December 1, 2006 in Chinese patent application number 03807636.5 (with translation)
	C33	Japanese Office Action issued March 13, 2007 in Japanese Patent Application number 2003-096088 (with translation)
	C34	Japanese Office Action issued October 16, 2007 in Japanese Patent Application No. 2003-581004 (with translation)
	C35	Japanese Office Action issued July 22, 2008 in Japanese Patent Application number 2002-001843 (with translation)

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